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## OVERSIGHT BODY SURVEY RESPONSES

## Section 1: Perceptions of RIA

Question	Number of responses						
	Strongly Agree	Agree	Neutral	Disagree	Strongly Disagree	Do not know	No response
Q2. Overall, the RIA process has been effective in:							
...improving quality of regulation	0	5	3	0	0	0	2
...reducing unnecessary impacts	0	6	2	0	0	0	2
Q3. The formal framework provided by RIA:							
...has led to a more thorough analysis of the nature of the problem than would otherwise have occurred	2	4	1	1	0	0	2
...helps ensure that government intervention is justified	1	6	1	0	0	0	2
...has led to a more systematic consideration of costs and benefits than would otherwise have occurred	2	5	1	0	0	0	2
...has led to consideration of a broader range of options than would otherwise have occurred	0	6	1	1	0	0	2
...is sufficiently flexible for most policy proposals	1	6	0	1	0	0	2
Q4(a) RIA written guidance material, developed for your jurisdiction, is:							
clear	1	5	1	1	0	0	2
concise	1	5	1	1	0	0	2
comprehensive	1	4	3	0	0	0	2
up to date	0	4	2	2	0	0	2
easily accessible (such as available on the internet)	1	6	1	0	0	0	2
(b) RIA written guidance material provides enough information to undertake the RIA process	1	5	2	0	0	0	2

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## Section 1: Perceptions of RIA (cont)

Question	Number of responses						
	Strongly Agree	Agree	Neutral	Disagree	Strongly Disagree	Do not know	No response
Q5							
(a) Resources used in the RIA process are proportional to the likely impacts of the regulatory proposal	0	4	3	1	0	0	2
(b) RIA merely replaces policy development processes that would otherwise be undertaken	0	0	2	5	1	0	2
(c) The effect of the proposed regulatory options on national markets is considered during the RIA process	0	2	2	4	0	0	2
(d) Following a COAG RIS, a jurisdiction-specific analysis is often undertaken to have an understanding of the jurisdictional impacts	0	3	1	4	0	0	2
(e) The sanctions for non-compliance with RIA requirements are strong enough to encourage compliance	0	1	4	2	0	0	3
Q6. The RIA process is, or could be, more efficient and effective when:							
...a regulatory oversight body assesses the adequacy of RISs*	2	2	3	1	0	0	2
...the regulatory oversight body has statutory independence	2	1	4	1	0	0	2
...the decisions of the regulatory oversight body are subject to periodic auditing by an independent third party	1	1	5	1	0	0	2
...ministers are accountable for ensuring RIA compliance (e.g. by certifying that individual RISs* meet jurisdiction requirements)	1	4	2	1	0	0	2
...agency heads are accountable for ensuring RIA compliance (e.g. by certifying that individual RISs* meet jurisdiction requirements)	2	3	2	1	0	0	2
...the responsible minister is required to provide reasons for proposing regulations that are inconsistent with RIA principles	2	5	1	0	0	0	2
...the draft RIS* is published as a consultation document	2	3	3	0	0	0	2
...stakeholders can provide comments on draft legislation or regulation after RIA consultation	1	4	3	0	0	0	2

\*or equivalent document(s) that may be referred to by another name in your jurisdiction

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## Section 1: Perceptions of RIA (cont)

Question	Number of responses						
	Strongly Agree	Agree	Neutral	Disagree	Strongly Disagree	Do not know	No response
Q6. (cont) The RIA process is, or could be, more efficient and effective when:							
...the final RIS* is published	3	3	2	0	0	0	2
...compliance with RIA requirements for individual proposals is made public	2	3	3	0	0	0	2
...the reasons for the oversight body's assessment of a RIS* as adequate/inadequate are publicly reported	1	3	4	0	0	0	2
...the final RIS*, the adequacy assessment and the reasoning for the assessment are published at the time of the regulatory announcement	2	2	3	1	0	0	2
Q7. Sunsetting of regulation:							
...makes a substantial contribution to improving regulatory quality	0	5	2	1	0	0	2
...requires too much investment of resources for the benefits achieved	0	1	3	4	0	0	2
...is likely to yield greater benefits where related subordinate and primary legislation are reviewed as a package	1	5	2	0	0	0	2

\*or equivalent document(s) that may be referred to by another name in your jurisdiction

## Section 2: Influence on decision making/outcomes

Question	Number of responses
Q8(a) Has the RIA process in your jurisdiction been effective in influencing regulatory decisions and/or the quality of regulation in the following ways? <i>(respondents were able to select multiple answers)</i>	
...by improving decision maker understanding of impacts	6
...by building stakeholder awareness and support for the decision made	4
...through oversight body involvement which improved information available to decision makers	6
...deciding not to proceed with a regulatory action because the RIA demonstrated either the status quo or a non-regulatory option was preferable	3
...influencing the design of a regulation by demonstrating that a particular option was more effective or efficient	3
...other	1
no answers selected	3
Q8(b) Please provide specific examples of such changes to decisions or outcomes that can be attributed to RIA.	5
Q9. In your jurisdiction, approximately what percentage of regulatory proposals were modified in a significant way or withdrawn (such as those described above) because of RIA processes?	
less than 10 per cent	5
10 to 30 per cent	2
31 to 50 per cent	0
greater than 50 per cent	0
no response	3
Q10. How could the RIA process be changed to improve regulatory decision making and/or regulatory outcomes?	6
Q11. How could the RIA process be modified to ensure the requirements are still met when there are pressing timeframes?	6
Q12. How often do regulatory proposals that have been assessed as non-compliant with the RIA process proceed to the decision maker (e.g. Cabinet)?	
always	0
often	1
sometimes	4
rarely	2
never	1
do not know	1
no response	1

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## Section 2: Influence on decision making/outcomes (cont)

<i>Question</i>	<i>Number of responses</i>
Q13. In 2011, for what percentage of regulatory instruments in your jurisdiction was a RIS (or equivalent) prepared?	
0 to 5 per cent	4
6 to 10 per cent	3
11 to 15 per cent	0
16 to 20 per cent	0
greater than 20 per cent	0
do not know	0
no response	3
Q14. What mechanisms are in place that seek to ensure reviews and evaluations foreshadowed in RIS (or equivalent) documents are actually undertaken? And, how successful have these mechanisms been in practice?	9

## Section 3: Integrating RIA into policy development

<i>Question</i>	<i>Number of responses</i>
Q15. Please describe the nature and extent of the RIA training provided by the regulatory oversight body (including course content, length, frequency, the ability to tailor to specific agencies, the number of agencies receiving training in 2010-11, and number of staff trained).	10

## Section 4: RIA activity and costs

<i>Question</i>	<i>Number of responses</i>
Q16. In the 2010-11 financial year, what do you estimate was the cost of the RIA process to your agency?	5
Q17. Approximately, what percentage of RIA costs (if any) do the following functions account for. (available options: advice on whether a RIS* is required, assistance preparing RISs*, assessing RIS* adequacy, compliance monitoring and reporting, training, other)	7
Q18. Please state reasons (if any) why cost data for 2010-11 is likely to be atypical.	5

\*or equivalent document(s) that may be referred to by another name in your jurisdiction